

DOCKET NO.: MSFT-0123/154577.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 13 2004

In Re Application of:

Marco A. DeMello, et al.

Confirmation No.: 5310

Technology Center 2100

Application No.: 09/604,564

Group Art Unit: 2134

Filing Date: June 27, 2000

Examiner: Brown, Christopher J

For: **SYSTEM AND METHOD FOR ACTIVATING A RENDERING DEVICE IN A  
MULTI-LEVEL RIGHTS-MANAGEMENT ARCHITECTURE**

DATE OF DEPOSIT: 10-7-04

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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TYPED NAME: Peter M. Ullman  
REGISTRATION NO.: 43,963

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **29 thru 85** listed on the attached Form PTO-1449 are enclosed herewith.


- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-7-04



**Peter M. Ullman**  
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Commissioner for Patents  
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Alexandria, VA 22313-1450

Dear Sir:

### COMMUNICATION

- ☒ Applicant wishes to advise the Examiner of certain co-pending applications with regard to the present application. In particular, the following eleven (11) applications, nos. 09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543, were all filed on June 27, 2000 and are presently pending. These applications share either substantially identical specifications or overlapping material, and also have overlapping (but non-identical) sets of inventors.

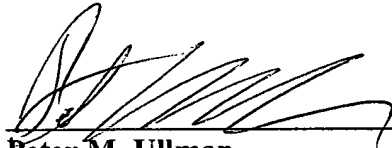
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**PATENT**

Of the eleven (11) applications listed above, three of the applications (nos. **09/604,540**, **09/604,946**, **09/604,944**) each claim priority to two provisional applications (60/172,318 and 60/172,319) filed on December 17, 1999.

Additionally, at present each of the following application nos.: **10/858,890** (filed June 2, 2004); **10/871,739** (filed June 18, 2004); and attorney docket no. **MSFT-4470/154575.05** (filed September 17, 2004); is a pending child of one of the above-mentioned cases.

Date: 10-7-04

  
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
29	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , 1999, 2(2), 177-228	

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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	30	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	31	5,809,145	09/15/98	Slik, et al.	380	25
	32	5,864,620	01/26/99	Pettitt	380	4
	33	6,088,717	07/11/00	Reed, et al.	709	201
	34	6,226,618 B1	05/01/01	Downs, et al.	705	1
	35	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	36	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	37	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	38	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	39	6,718,361 B1	04/04	Basani, et al.	709	201

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	40	EP 0 795 809 A2	09/17/97	EPO		
	41	WO 00/08909	02/24/00	PCT		
	42	WO 00/21239	04/13/00	PCT		
	43	WO 00/75760 A1	12/14/00	PCT		
	44	WO 99/63416	12/09/99	PCT		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	45	5,509,070	04/16/96	Schull	380	4
	46	5,708,780	01/13/98	Levergood, et al.	395	200.12
	47	5,724,425	03/03/98	Chang, et al.	380	25
	48	5,883,955	03/16/99	Ronning	380	4
	49	5,933,498	08/03/99	Schneck, et al.	380	4
	50	5,970,475	10/19/99	Barnes, et al.	705	27
	51	5,999,622	12/07/99	Yasukawa, et al.	380	4
	52	6,006,332	12/21/99	Rabne, et al.	713	201
	53	6,021,492	02/01/00	May, Gregory J.	713	200
	54	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

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Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	55	EP 0 778 512 A2	06/11/97	EPO		
	56	EP 0 843 449 A2	05/20/98	EPO		
	57	WO 96/42041	12/27/96	PCT		
	58	WO 98/45768	10/15/98	PCT		
	59	WO 98/58306	12/23/98	PCT		

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	60	4,924,378	05/08/90	Hershey, et al.	713	201
	61	5,222,134	06/22/93	Waite, et al.	380	4
	62	5,734,823	03/31/98	Saigh, et al.	395	200.06
	63	5,734,891	03/31/98	Saigh	395	610
	64	5,784,609	07/21/98	Kurihara, A.	707	9
	65	5,983,273	11/09/99	White, et al.	709	229
	66	5,991,402	11/23/99	Jia, et al.	705	59
	67	6,029,046	02/22/00	Khan, et al.	725	31
	68	6,035,403	03/07/00	Subbiah, et al.	713	201
	69	6,067,582	05/23/00	Smith, et al.	710	5

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	70	WO 96/24092	08/08/96	PCT		
	71	WO 99/55055	12/28/99	PCT		

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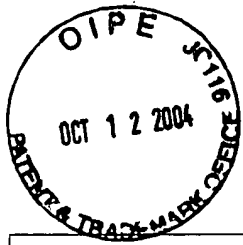
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	72	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
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	74	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	75	5,742,677	04/21/98	Pinder, et al.	380	242
	76	5,359,659	10/25/94	Rosenthal	380	4
	77	5,991,399	11/23/99	Graunke, et al.	380	4
	78	6,049,612	04/11/00	Fiedler, et al.	380	44
	79	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	80	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224
	81	5,765,152	06/09/98	Erickson, J.S.	707	9

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	82	WO 99/01815	01/14/99	PCT		
	83	WO 99/26123	05/27/99	PCT		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
84	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference, 1999</i> , franklin, M. (ed), 118-124	
85	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop</i> , Anderson, Ross (ed., ) 1996, 317-333	

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